



# Impact of the Homeland Security Act on Immigration Functions Transferred to the Department of Homeland Security

## Fiscal Year 2021

Report to Congress



**Homeland  
Security**

*U.S. Citizenship and Immigration Services*



**Homeland  
Security**

February 22, 2022

## Message from the Assistant Secretary

I am pleased to present the following “Annual Report on the Impact of the Homeland Security Act on Immigration Functions Transferred to the Department of Homeland Security.”

As required by statute, this report is provided to the following Members of Congress:

The Honorable Jerrold Nadler  
Chairman, House Committee on the Judiciary

The Honorable Jim Jordan  
Ranking Member, House Committee on the Judiciary

The Honorable Carolyn Maloney  
Chairwoman, House Committee on Oversight and Government Reform

The Honorable James Comer  
Ranking Member, House Committee on Oversight and Government Reform

The Honorable Richard Durbin  
Chairman, Senate Committee on the Judiciary

The Honorable Chuck Grassley  
Ranking Member, Senate Committee on the Judiciary

The Honorable Gary C. Peters  
Chairman, Senate Committee on Homeland Security and Governmental Affairs

The Honorable Rob Portman  
Ranking Member, Senate Committee on Homeland Security and Governmental Affairs

Inquiries relating to this report may be directed to me at (202) 447-5890.

Respectfully,

A handwritten signature in black ink that reads "Alice Lugo". The signature is written in a cursive style with a large, prominent initial "A".

Alice Lugo  
Assistant Secretary for Legislative Affairs

# Executive Summary

Section 478 of the Homeland Security Act of 2002, Public Law 107-296, 116 Stat. 2135 (6 U.S.C. 298), requires that the Secretary of Homeland Security submit an annual report that identifies the impact of the transfer of immigration functions from the Department of Justice (DOJ), Immigration and Naturalization Service to the Department of Homeland Security (DHS). This report addresses activities during Fiscal Year (FY) 2021.

U.S. Citizenship and Immigration Services (USCIS), a component of DHS, received 9.0 million cases (applications and petitions) and processed 7.2 million cases to completion.<sup>1</sup>

This report includes comprehensive data collected and compiled by the USCIS Office of Performance and Quality (OPQ) and contains region-by-region statistics on the aggregate number of immigration applications and petitions (Appendix B).

A total of 1.3 million cases were added to the backlog in FY 2021,<sup>2</sup> resulting in nearly 4.4 million in net backlog<sup>3</sup> status.

USCIS does not track the number and types of immigration-related grievances filed with any official of DOJ. Data relating to allegations of misconduct, corruption, and fraud involving any USCIS employee filed with USCIS is submitted via the “Report on Internal Affairs Investigations, Semi-Annual Report to Congress.”

Any plans to address or recommend enhancements to the grievance or the complaint process will be subject to review and determination by the Investigations Division within the USCIS Office of Security and Integrity (OSI).

USCIS reported to the DHS financial auditor that it complied, in all material respects, with applicable laws and regulations. All immigration fees were collected and used in accordance with all applicable legal requirements.

Questions conveyed by telephone to USCIS were answered as follows during FY 2021: USCIS Call Center Tier 1 answered calls at an Average Speed of Answer<sup>4</sup> of 18 minutes. During FY 2021, USCIS Call Center Tier 2, received 1,459,169 electronic webform inquiries.

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<sup>1</sup> The completions figure includes about 44,000 credible fear referrals processed to completion during FY 2021.

<sup>2</sup> Backlog is defined as the volume of pending applications that exceed the level of acceptable pending cases. Acceptable pending is pegged to the volume of applications receipted during the target cycle time period (e.g., 5 months). The target cycle time refers to the processing time goal for a given application type. For example, if the processing time goal for Form N-400, *Application for Naturalization* is 5 months, then the acceptable pending volume will be equal to the last 5 months’ worth of receipts.

<sup>3</sup> Net backlog is defined similarly to backlog, except that the number of pending applications is reduced to account for cases in active suspense categories (i.e., cases that are deducted from the gross backlog, such as cases with a pending Request for Evidence, cases awaiting visa availability from the Department of State, or cases pending re-examination for an N-400, *Application for Naturalization*).

<sup>4</sup> “Average Speed of Answer” is an industry-recognized category.

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# I. Legislative Requirement

This report fulfills the requirement set forth in section 478 of the Homeland Security Act of 2002, Public Law 107-296, 116 Stat. 2135 (6 U.S.C. 298), that the Secretary of Homeland Security report annually on the impact of the transfer made by the Act on immigration functions. Section 478 provides:

## **SEC. 478. IMMIGRATION FUNCTIONS.**

### (a) ANNUAL REPORT.—

(1) IN GENERAL.— One year after the date of the enactment of this Act, and each year thereafter, the Secretary shall submit a report to the President, to the Committees on the Judiciary and Government Reform of the House of Representatives, and to the Committees on the Judiciary and Government Affairs of the Senate, on the impact the transfers made by this subtitle has had on immigration functions.

(2) MATTER INCLUDED.— The report shall address the following with respect to the period covered by the report:

(A) The aggregate number of all immigration applications and petitions received, and processed, by the Department.

(B) Region-by-region statistics on the aggregate number of immigration applications and petitions filed by an alien (or filed on behalf of an alien) and denied, disaggregated by category of denial and application or petition type.

(C) The quantity of backlogged immigration applications and petitions that have been processed, the aggregate number awaiting processing, and a detailed plan for eliminating the backlog.

(D) The average processing period for immigration applications and petitions, disaggregated by application or petition type.

(E) The number and types of immigration-related grievances filed with any official of the Department of Justice, and if those grievances were resolved.

(F) Plans to address grievances and improve immigration services.

(G) Whether immigration-related fees were used consistent with legal requirements regarding such use.

(H) Whether immigration-related questions conveyed by customers to the Department (whether conveyed in person, by telephone, or by means of the Internet) were answered effectively and efficiently.

### (b) SENSE OF CONGRESS REGARDING IMMIGRATION SERVICES.— It is the sense of Congress that—

(1) the quality and efficiency of immigration services rendered by the Federal

Government should be improved after the transfers made by this subtitle take effect; and

(2) the Secretary should undertake efforts to guarantee that concerns regarding the quality and efficiency of immigration services are addressed after such effective date.

## II. Background

Section 478 of the Homeland Security Act (HSA) requires the Secretary of Homeland Security report to Congress annually on the impact of the transfers made by the HSA on immigration functions. From FY 2004 through FY 2009, this requirement was met through the incorporation of relevant information into one of the USCIS quarterly reports on productivity required by the Senate Report accompanying the annual DHS Appropriations Acts (usually the third quarter report). However, the Senate Report that accompanied the FY 2010 DHS Appropriations Act no longer directs USCIS to submit quarterly productivity reports. Accordingly, the section 478 reporting requirement is now met through this separate report.

### III. Reporting Responses

**Section 478(a)(2)(A):** The aggregate number of all immigration applications and petitions received, and processed, by the Department.

See Appendix A, which includes comprehensive data collected that address this section of the report requirement.

**Section 478(a)(2)(B):** Region-by-region statistics on the aggregate number of immigration applications and petitions filed by an alien (or filed on behalf of an alien) and denied, disaggregated by category of denial and application or petition type.

See Appendix B and C which includes comprehensive data collected that address this section of the report requirement. Appendix B contains information on the four regional locations within USCIS, and Appendix C contains information on the five center locations within USCIS.

**Section 478(a)(2)(C):** The quantity of backlogged immigration applications and petitions processed, the aggregate number awaiting processing, and a detailed plan for eliminating the backlog.

The total net backlog volume as of September 2021 is nearly 4.4 million cases, driven in large part by the following applications and petitions (in descending order of highest backlog):

- I-765            Application for Employment Authorization
- I-485           Application to Register Permanent Residence or Adjust Status
- N-400           Application for Naturalization
- I-90            Application to Replace Permanent Resident Card
- I-589           Application for Asylum and for Withholding of Removal

Within USCIS, responsibility of adjudicating most cases falls under the purview of the Field Operations Directorate (FOD), Service Center Operations Directorate (SCOPS), and the Refugee, Asylum and International Operations Directorate (RAIO). These components are responsible for accurate and timely completion of incoming cases. They are also charged with effectively eliminating backlogs that are present or have the potential to build based on existing conditions.

FOD is responsible for adjudication of applications and petitions for immigration benefits, other than asylum applications, requiring domestic, in-person (face-to-face) interviews.<sup>5</sup>

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<sup>5</sup> The Asylum Division within RAIO is responsible for conducting the interviews and adjudication of Form I-589, Application for Asylum and for Withholding of Removal and Form I-881, Application for Suspension of Deportation or Special Rule Cancellation of Removal (pursuant to section 203 of Public Law 105-100), as well as protection screening, such as credible and reasonable fear. Additionally, the Asylum Division conducts pre-processing and reviews consular returned Forms I-730, Refugee/Asylee Relative Petition filed by refugee petitioners. The International and Refugee Affairs Division (IRAD) within RAIO is responsible for conducting the interviews and adjudication of refugee applicants and certain other applicants outside the United States, as well as certain requests for humanitarian parole.



SCOPS is responsible for adjudication of certain applications and petitions for immigration benefits which may be adjudicated remotely, thereby eliminating a need for in-person interviews.<sup>6</sup>

Backlogs increased steadily since FY 2010, and there is no easy or quick fix for reducing these backlogs. Prior to the COVID-19 pandemic, factors leading to backlog growth included application and petition receipt increases, insufficient staffing and facility resources, new immigration programs and policies, productivity lags associated with adopting new case processing systems, removal of performance metrics, and additional vetting (such as new interview requirements) and security checks.

USCIS made some progress addressing backlogs in FY 2019, resulting in a backlog growth rate of less than one percent – the smallest growth since 2012. This was due to a 4 percent decrease in receipts, increases in completions (naturalizations, adjustments of status, non-immigrant and immigrant worker petitions) and additional staffing. Due to the COVID-19 pandemic USCIS encountered unforeseen obstacles that prevented further backlog growth reductions, including an agency-wide hiring freeze in FY 2020 and half-way through FY 2021. As a result, USCIS had a net backlog of nearly 4.4 million cases by the end of September 2021.

Addressing the USCIS backlog is a priority for the Administration and USCIS senior leadership. The backlog is a significant concern for applicants applying for benefits with USCIS. As the backlog increases, applicants and petitioners experience longer wait times to receive a decision on their benefit requests. USCIS understands the impact delays in receiving decisions have on applicants and petitioners.

Congress is also concerned with USCIS' backlog growth. As a result, Congress appropriated \$250,000,000 to directly support USCIS backlog reduction and refugee admissions processing. The additional funding from Congress will allow USCIS to initiate a multi-year plan to eliminate the backlog and achieve the Administration's refugee admissions target, which is included in section 132 of the Extending Government Funding and Delivering Emergency Assistance Act (Pub. L. 117-43).

Given the size and growth rate of the USCIS backlog, it will require a multi-year effort to significantly reduce and ultimately eliminate the current backlog.

In FY 2022, USCIS plans to focus on a combination of new staff, expanded overtime hours, and Information Technology investments to promote more efficient processing of cases and use of officer time. These investments will not only benefit new applications but will also improve the ability of USCIS to mitigate backlog growth.

USCIS is planning to focus backlog reduction efforts on the following forms that total 2.7 million, or 61 percent of the total backlog:

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<sup>6</sup> The National Benefits Center (NBC), under the purview of FOD, also remotely adjudicates certain petitions and applications that do not require an in-person interview or that do not require a transfer to a USCIS Field Office.

- N-400 – Application for Naturalization
- I-589 – Application for Asylum and for Withholding of Removal
- I-918 – Petition for U Nonimmigrant Status awaiting a Waitlist determination

- I-130 – Petition for Alien Relative
- I-485 – Application to Register Permanent Residence or Adjust Status
- I-751 – Petition to Remove Conditions on Residence
- I-765 – Application for Employment Authorization

See Appendix A for comprehensive data on backlog levels.

**Section 478 (a)(2)(D):** The average processing period for immigration applications and petitions, disaggregated by application or petition type.

See Appendix A, which includes comprehensive data collected that address this section of the report requirement.

**Section 478 (a)(2)(E):** The number and types of immigration-related grievances filed with any official of the DOJ, and if those grievances were resolved.

USCIS does not track the number and types of immigration-related grievances filed with any official of the DOJ. In accordance with the Homeland Security Act, the Director of USCIS is responsible for conducting investigations of non-criminal allegations of misconduct, corruption, and fraud involving any USCIS employee who is not subject to investigation by the DHS Office of Inspector General.

The USCIS Director delegated this investigatory responsibility to the USCIS OSI. OSI provides leadership in the management of security to protect employees, facilities, assets, and information to advance the agency’s mission by ensuring effective, efficient, and continual operations.

Data relating to allegations of misconduct, corruption, and fraud involving any USCIS employee filed with USCIS are submitted via the “Report on Internal Affairs Investigations, Semi-Annual Report to Congress.” These semi-annual reports contain January through June data and July through December data submitted to Congress by OSI.

**Section 478 (a)(2)(F):** Plans to address grievances and improve immigration services.

Any plans to address or recommend enhancements to the grievance or complaint process are subject to review by the Office of Investigations (OI). This division is charged with the following responsibilities:

- Receiving allegations of employee misconduct and planning, organizing, and conducting internal investigations pertaining to USCIS employee misconduct;
- Developing investigative procedures and techniques; and
- Providing policy guidance to investigators and employees assigned to conduct field management inquiries.

The responsibility to address or enhance current immigration functions, as it relates to immigration services operations and adjudicative functions, rests with the operational directorates that are ultimately responsible for ensuring accurate and timely adjudication of

incoming cases. Recommendations and improvements are usually initiated by the operational components because they have the most up-to-date knowledge and information regarding adjudication practices and standard operating procedures pertaining to various form types. Broad improvements are usually a result of a collaborative effort undertaken by USCIS Headquarters directorates and program offices.

**Section 478 (a)(2)(G):** Whether immigration-related fees were used consistent with legal requirements regarding such use. Regarding the Annual Financial Statement Audit,<sup>7</sup> USCIS asserted to the DHS financial auditor that it complied, in all material respects, with applicable laws and regulations. All immigration fees were collected and used in accordance with all applicable legal requirements. Funds collected for the Fraud Prevention and Detection Fee account were distributed to the Department of Labor (DOL), DHS, and the Department of State in accordance with guidelines specified in Public Law 108-447 and Public Law 115-218. Each agency received a one-third share of general fraud fee collections, which totaled \$124.8 million in FY 2021. USCIS' FY 2021 share was \$40.4 million. Funds collected for the H-1B Nonimmigrant Petitioner Fee account were distributed to DOL (55%), the National Science Foundation (40%), and DHS (5%), in accordance with relevant law. Collections totaled \$533.6 million in FY 2021; USCIS' FY 2021 share was \$26.7 million.

**Section 478 (a)(2)(H):** Whether immigration-related questions conveyed by customers to the Department (whether conveyed in person, by telephone, or by means of the Internet) were answered effectively and efficiently.

The USCIS Office of Citizenship and Applicant Information Services (CAIS) delivers effective information and services that help our applicant community learn and navigate the immigration journey through a digital and live help experience, which creates value and efficiency for our applicants adjudicative process. Within CAIS, the Public Services Division provides information and guidance, and schedules information counter appointments for USCIS applicants, petitioners, and immigration advocates regarding immigration benefits. The Public Engagement Division in the Office of Public Affairs facilitates agencywide collaboration with external stakeholders (both at the national and local levels using various languages) to maintain open communication and seek feedback regarding USCIS policies, priorities, and organizational performance reviews.

The USCIS Contact Center follows a typical, industry-standard workflow. All calls are first answered by the Interactive Voice Response (IVR) system that provides a caller with general information and some case specific services. In 2021, 14.2 million calls were received at the USCIS Contact Center. Of the total calls received at the contact center, 72 percent had their inquiries resolved within the IVR. If more than general information and services information is required, the caller can request live assistance at the Tier 1 level. Tier 1 is a contractor-operated contact center where more specific information on policy and procedures is available. The Tier 1 contractor works from a knowledge base system provided by USCIS, which is updated weekly. If the information sought is not available at the Tier 1 level, the caller is transferred to the Tier 2 level. Tier 2 is staffed with USCIS-trained Immigration Services Officers who have enhanced access to USCIS systems. The information requested at the Tier 2 level is often specific information about the status of applications and petitions submitted to USCIS. All inquiries regarding Electronic Immigration System (ELIS) forms are managed through the

agency's online web form or within the myUSCIS online account experience.

There were 3.4 million calls completed at the Tier 1 level. Tier 1 effectively answered calls at an average speed of 18 minutes and 0 seconds. Of the 3.4 million calls received at Tier 1, individuals abandoned or terminated about 16% of calls before being answered. About 21.44% of inquiries received at the Tier 1 level required more specific assistance and were referred to the Tier 2 level.

Tier 2 completed 836,000 inquiries in FY 2021. These inquiries were a combination of webforms and escalated phone calls from Tier 1.

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<sup>7</sup> An annual financial statement audit is an annual assessment conducted by an independent auditor who, upon completion of the audit, provides reasonable, but not absolute, assurance as to whether the financial statements are presented fairly, in all material respects, in accordance with Federal Generally Accepted Accounting Principles. This opinion is intended to increase the value and credibility of the financial statements produced by management as well as the users' confidence in information contained therein.

# Appendix A – FY2021 Domestic Production Data Report

Form Number and Description		Receipts	Completions	Net Backlog End of FY20	Net Backlog End of FY21	Change in Backlog (FY20 to FY21)	Net Cycle Time End of FY21 (in months)	
<b>Sponsoring Relatives &amp; Orphans</b>	Immediate Relative	535,026	513,296	278,002	232,217	(45,785)	11	
	I-130 Preference Relative	222,180	241,829	212,316	62,729	(149,587)	8.5	
	<b>Total Alien Relative Petitions</b>	757,206	755,125	490,318	294,946	(195,372)	10.7	
	I-129F Fiancée Petition	37,741	31,084	8,124	11,152	3,028	7.0	
	I-600/600A Orphan Petitions	1,131	1,436	245	161	(84)	4.1	
	I-800/800A Convention Country Adoption	2,369	3,187	-	-	-	1.3	
	I-730 Refugee/Asylee Relative Petition	8,951	6,258	21,905	22,569	664	26.9	
<b>Resident Services</b>	Immigrant Visas	214,122	186,802	7,854	36,420	28,566	1.5	
	I-90 Renew / Replace Permanent Resident Card	804,279	530,125	124,614	399,841	275,228	8.5	
	I-131 Reentry Permit / Refugee Travel Doc	72,555	45,887	10,818	34,466	23,648	7.1	
	I-751 Remove Conditions on Residence	178,060	162,629	142,355	127,973	(14,382)	16.0	
	I-829 Remove Conditions on Entrepreneur	3,301	2,225	8,720	9,359	639	41.4	
	N-300 Declaration of Intent	-	-	14	-	(14)	0.0	
	N-470 Preserve Residence	-	-	61	3	(58)	12.2	
	N-400 Military Naturalization	9,272	9,301	1,892	1,224	(668)	6.1	
	Other Naturalization	788,562	885,257	470,050	487,027	16,977	12.1	
	N-644 Posthumous Naturalization	-	-	-	-	-	0.0	
	N-648 Disability Exception	45,809	45,418	-	-	-	0.0	
	N-336 Request for Hearing	4,596	5,268	4,494	1,167	(3,327)	9.9	
	<b>Employer &amp; Investor Services</b>	Premium Processed	266,469	298,092	6,913	2,415	(4,498)	1.2
		I-129 Non-Immigrant Petition (non Premium filed)	267,911	320,204	31,293	31,384	91	2.5
<b>Total all I-129</b>		534,380	618,296	38,206	33,799	(4,407)	1.9	
Premium Processed		57,010	75,858	3,555	6,104	2,549	1.5	
I-140 Immigrant Petition for Worker (non Premium filed)		122,340	70,751	12,048	40,247	28,199	7.5	
<b>Total all I-140</b>		179,350	146,609	15,603	46,351	30,748	5.9	
I-360 Petition for Amerasian, Widow(er), or Special Immigrant		57,479	34,728	23,214	34,230	11,016	13.4	
I-526 Petition by Entrepreneur		794	3,048	5,626	7,632	2,006	33.8	
I-924 Regional Center Application		14	60	156	131	(25)	37.0	
<b>Nonimmigrant Services</b>		I-102 Replacement/Initial Nonimmigrant Arrival-Departure Doc	4,282	3,065	1,707	2,787	1,080	9.7
	I-539 Extend/Change Status	288,267	335,522	240,650	203,869	(36,782)	10.7	
<b>Adjustment</b>	Asylum Adjustment	44,837	22,095	46,148	76,033	29,885	29.1	
	Refugee Adjustment	22,789	14,697	5,035	19,739	14,704	12.6	
	Indo Chinese Adjustment	10	13	44	38	(6)	33.4	
	I-485 Cuban Adjustment Act	17,159	24,497	10,986	10,203	(784)	10.2	
	Employment-Based Adjustment	297,425	168,593	90,007	182,450	92,444	9.9	
	Family-Based Adjustment	290,022	310,657	234,127	206,323	(27,804)	13.3	
	All Other Adjustment of Status	45,074	31,544	22,511	28,965	6,454	12.1	
	Subtotal I-485 Regular Cases	649,680	535,290	357,631	427,941	70,310	11.5	
	<b>Total Adjustment Cases</b>	717,316	572,095	408,858	523,751	114,893	0.0	
	I-131 Advance Parole	595,527	341,365	44,937	224,451	179,514	7.6	
	I-131 Parole in Place	14,600	7,691	-	-	-	2.4	
	EOR Adjustment Processing	30,772	30,772	3,205	4,480	1,275	4.2	
	<b>EAD Humanitarian</b>	I-765 All Other Employment Authorization Document	2,164,883	1,439,092	187,458	740,569	553,111	6.6
I-589 Asylum		61,840	39,209	336,053	380,427	44,374	53.0	
I-821 Temporary Protected Status		301,778	22,838	2,227	116,048	113,821	5.4	
I-881 NACARA 203 Application		209	286	-	346	346	41.7	
I-867 Credible Fear Referral		59,155	43,961	799	1,471	672	0.0	
I-899 Reasonable Fear		5,106	4,496	-	-	-	0.0	
I-687/690/695/698/700 Legalization/ SAW		54	419	193	481	288	83.8	
I-817 Family Unity		347	859	111	151	40	12.2	
I-914 T Nonimmigrant Status		2,698	2,403	2,442	2,827	385	18.9	
I-918 U Nonimmigrant Status		36,808	23,346	225,414	242,108	16,694	62.2	
I-192 Waiver filed with I-918		31,018	-	-	-	-	67.7	
I-929 Qualifying Family Members of U Nonimmigrants		1,013	743	766	1,066	300	17.3	
<b>Other Services</b>		N600/600K Application for Citizenship	59,084	47,497	19,907	22,231	2,324	10.0
		I-824 Action on Approved Application or Petition	11,253	8,677	3,285	5,922	2,637	7.9
		I-905 Permission to Issue Health Care Certification	-	-	-	-	-	0.0
		N-565 Replace Certificate	29,653	17,666	-	9,032	9,032	10.0
	I-601A Provisional Waiver	45,344	20,048	52,491	80,920	28,429	23.2	
	Waivers (Excluding I-601A)	61,209	45,685	175,875	210,443	34,568	41.9	
	I-910 Application for Civil Surgeon	469	485	-	-	-	3.5	
	I-290B Appeal	4,010	5,102	-	-	-	11.6	
	I-290B I-290B Motion to Reopen	24,197	21,235	-	-	-	9.2	
	<b>Total I-290B</b>	28,207	26,337	-	-	-	0.0	
	<b>Deferred Action for Childhood Arrivals</b>	DACA (Initial Filing)	94,542	9,172	516	47,130	46,614	0.0
		I-821D DACA (Renewal)	344,896	354,149	-	-	-	0.0
		<b>Total I-821D DACA</b>	439,438	363,321	516	47,130	46,614	3.9
I-765 Employment Authorization Document DACA		441,478	365,792	-	-	-	0.0	
I-131 DACA DACA Travel Doc		11,527	3,518	195	7,534	7,339	52.1	
<b>Total</b>	<b>9,084,081</b>	<b>7,213,594</b>	<b>3,081,356</b>	<b>4,376,492</b>	<b>1,295,136</b>			

**Table Key:**

- Represents zero or rounds to 0.0.

**Note(s):**

- 1) I-485 Regular is based on the following form types: Cuban, Employment, Family, and All Other Adjustment of Status cases.
- 2) N-400 military natz pending, net cycle time and backlog data include International Operations (IO) data.
- 3) Due to system limitations, N-648 data for FY 20 were not reported.
- 4) I-914 includes the I-914A.
- 5) I-918 includes the I-918A; Completions for the I-918/I-918A include Approvals and Denials.

**Source(s):**

September 2021 National Performance Report published 11/5/2021.

# Appendix B – FY2021 Domestic Production by Region

Form Types	Central Region (COR)			Northeast Region (NER)			Southeast Region (SER)			Western Region (WOR)		
	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other
<b>TOTAL</b>	<b>437,716</b>	<b>637</b>	<b>43,266</b>	<b>457,939</b>	<b>306</b>	<b>62,238</b>	<b>311,572</b>	<b>241</b>	<b>47,844</b>	<b>398,673</b>	<b>155</b>	<b>45,002</b>
I-129F Fiancée Petition	-	-	8	-	-	10	-	-	7	-	-	3
I-130 Immediate and Preference Alien Relative Petitions	56,637	281	6,544	56,935	136	11,319	39,989	126	5,981	46,852	72	4,019
I-485 Family-Based Adjustment	74,348	275	9,621	75,600	109	14,644	55,033	69	9,595	63,248	49	7,800
I-600/I-600A Orphan Petitions	-	-	-	-	-	-	-	-	-	-	-	-
I-601A Provisional Unlawful Presence Waiver	-	-	1	-	-	-	-	-	-	-	-	-
I-751 Remove Conditions on Residence	4	41	1,022	10	39	2,148	10	30	971	16	16	962
I-800/I-800A Convention Country Adoption	-	-	-	-	-	-	-	-	-	-	-	-
I-129 Non-Immigrant Petitions (including premium filings)	-	-	-	1	-	-	-	-	-	-	-	1
I-140 Immigrant Petition for Worker (including premium filings)	-	-	-	-	-	3	-	-	7	-	-	1
I-485 Employment-Based Adjustment	45,128	11	1,239	43,231	1	1,303	23,666	-	990	43,990	7	1,451
I-526 Petition by Entrepreneur	-	-	-	-	-	-	-	-	-	-	-	-
I-765 Employment Authorization Document	6,560	1	1,643	2,587	-	1,311	296	-	5,306	361	-	3,836
I-829 Remove Conditions on Entrepreneur	-	-	-	-	-	-	-	-	-	-	-	-
I-924 Regional Center Application	-	-	-	-	-	-	-	-	-	-	-	-
I-485 Asylum Adjustment	9,313	1	72	14,814	2	201	5,511	-	59	14,881	1	134
I-485 Refugee Adjustment	11,471	2	178	4,186	-	54	2,673	-	53	4,321	-	40
I-485 Indo Chinese Adjustment	3	-	1	3	-	-	-	-	-	4	-	-
I-485 Cuban Adjustment Act	3,141	6	413	478	-	73	12,741	6	1,479	674	-	90
Legalization	1	-	2	1	-	5	-	-	3	7	-	3
I-730 Refugee/Asylee Relative Petition	-	1	41	-	-	73	-	-	61	-	-	48
I-817 Family Unity	-	-	2	-	-	-	-	-	-	-	-	5
I-821 Temporary Protected Status	-	-	2	-	-	1	-	-	25	-	-	-
I-821D DACA	-	-	-	-	-	-	-	-	-	-	-	-
I-914 T Nonimmigrant Status	-	-	-	-	-	-	-	-	-	-	-	-
I-918 U Nonimmigrant Status	-	-	-	-	-	-	-	-	-	-	-	-
I-929 Qualifying Family Members of U Nonimmigrants	-	-	-	-	-	-	-	-	-	-	-	-
N-300 Declaration of Intent	-	-	-	-	-	-	-	-	-	-	-	-
N-336 Request for Hearing	1,206	-	661	1,238	-	531	1,005	-	442	925	-	414
N-400 Military Naturalization	1,827	-	137	1,830	-	121	1,268	-	71	1,579	-	155
N-400 Other Naturalization	202,027	-	19,064	223,802	-	26,272	151,839	-	17,262	204,947	-	22,088
N-470 Preserve Residence	-	-	-	-	-	-	-	-	-	-	-	-
N-565 Replace Certificate	-	-	1	-	-	6	-	-	-	-	-	3
N-600 Application for Citizenship	19,395	-	996	18,046	-	1,214	11,273	-	520	9,990	-	703
N-644 Posthumous Naturalization	-	-	-	-	-	-	-	-	-	-	-	-
N-648 Disability Exception	-	-	-	-	-	-	-	-	-	-	-	-
I-90 Renew / Replace Permanent Resident Card	-	1	2	-	1	2	-	-	1	-	-	-
I-102 Replacement/Initial Nonimmigrant Arrival-Departure Document	-	-	1	-	-	2	-	-	5	-	-	-
I-131 Reentry Permit / Refugee Travel Doc	1	-	-	-	-	-	-	-	1	5	-	2
I-131 Advance Parole	1,213	2	592	1,893	-	668	1,108	-	2,949	2,197	-	2,248
I-193 Waiver of Passport and/or Visa	-	-	-	-	-	-	-	-	-	-	-	-
I-360 Petition for Amerasian, Widow(er), or Special Immigrant	-	2	18	-	2	20	-	-	39	-	-	10
I-485 All Other Adjustment of Status	4,848	-	318	12,645	6	856	4,514	2	1,197	4,263	-	196
I-539 Extend/ Change Status	-	-	-	-	-	-	-	-	-	-	-	-
I-824 Action on Approved Application or Petition	-	-	30	-	-	51	-	-	25	-	-	21
Waivers	593	13	657	639	10	1,350	646	8	795	413	10	769

**Table Key:**

- Represents zero or rounds to 0.0.

**Notes:**

1) I-914 includes I-914A; I-918 includes I-918A.

**Source(s):**

1) PASEXEC Database, Data as of 11/15/2021

# Appendix C – FY2021 Domestic Production by Center

Form Type	Vermont Service Center (ESC)			Nebraska Service Center (NSC)			Texas Service Center (SSC)			California Service Center (WSC)			Potomac Service Center (YSC)			Immigrant Investor Program Office (IPO)			National Benefit Center (NBC)		
	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other	Receipts	Denied-Fraud	Denied-Other
<b>TOTAL</b>	<b>575,456</b>	<b>54</b>	<b>87,914</b>	<b>1,530,525</b>	<b>38</b>	<b>75,061</b>	<b>830,999</b>	<b>329</b>	<b>87,120</b>	<b>838,517</b>	<b>107</b>	<b>113,435</b>	<b>1,608,589</b>	<b>13</b>	<b>71,920</b>	<b>4,340</b>	<b>-</b>	<b>726</b>	<b>1,166,978</b>	<b>5</b>	<b>131,150</b>
I-129F Fiancée Petition	361	-	85	396	1	622	593	-	241	36,012	1	5,097	630	-	561	-	-	-	18	-	3
I-130 Immediate and Preference Alien Relative Petitions	96,299	21	14,247	110,467	-	8,557	117,150	96	5,462	121,210	11	19,465	108,285	2	4,830	-	-	-	110	-	-
I-485 Family-Based Adjustment	20,388	-	1,869	36	-	8	15	-	13	-	-	16	-	-	19	-	-	-	-	-	94
I-600/I-600A Orphan Petitions	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1,108	-	202
I-601A Provisional Unlawful Presence Waiver	-	-	1	34,265	-	1,368	-	-	-	-	-	-	10,714	-	354	-	-	-	-	-	-
I-751 Remove Conditions on Residence	30,842	-	304	28,498	-	218	33,515	-	168	28,020	-	263	31,023	-	225	-	-	-	26,428	-	284
I-800/I-800A Convention Country Adoption	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,121	-	74
I-129 Non-Immigrant Petitions (including premium filings)	115,467	26	25,088	116,415	9	2,592	82,495	32	9,288	197,945	90	39,039	-	-	-	-	-	-	1	-	-
I-140 Immigrant Petition for Worker (including premium filings)	2	-	7	102,128	26	4,630	75,128	179	4,325	-	-	10	1	-	1	-	-	-	62	-	-
I-485 Employment-Based Adjustment	4	-	6	75,447	-	727	58,555	12	1,144	2,059	-	167	-	-	3	-	-	-	-	-	94
I-526 Petition by Entrepreneur	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	575	-	498	-	-	-
I-765 Employment Authorization Document	133,491	-	11,085	742,892	-	19,011	315,762	1	45,874	189,178	-	5,385	411,004	2	12,929	-	-	-	732,359	-	81,341
I-829 Remove Conditions on Entrepreneur	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3,317	-	211	-	-	-
I-924 Regional Center Application	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	448	-	17	-	-	-
I-485 Asylum Adjustment	1	-	9	159	-	266	157	2	292	1	-	4	-	-	-	-	-	-	-	-	-
I-485 Refugee Adjustment	-	-	15	255	-	1,088	-	-	2	-	-	6	-	-	-	-	-	-	-	-	-
I-485 Indo Chinese Adjustment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
I-485 Cuban Adjustment Act	40	-	7	8	-	-	-	-	148	-	-	2	-	-	7	-	-	-	-	-	-
Legalization	-	-	76	-	-	3	-	-	29	-	-	156	-	-	-	-	-	-	45	-	23
I-730 Refugee/Asylee Relative Petition	-	-	-	3,941	-	310	4,994	4	174	-	-	1	-	-	-	-	-	-	16	-	-
I-817 Family Unity	-	-	30	-	-	17	303	-	77	-	-	109	-	-	2	-	-	-	54	-	1
I-821 Temporary Protected Status	7,720	-	454	1,409	-	81	1,096	-	86	71,532	-	138	219,965	-	129	-	-	-	2	-	-
I-821D DACA	1,707	-	53	-	-	-	-	-	-	92,131	1	1,351	-	-	-	-	-	-	-	-	-
I-914 T Nonimmigrant Status	2,629	1	942	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
I-918 U Nonimmigrant Status	18,729	-	3,997	17,539	-	2,757	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-
I-929 Qualifying Family Members of U Nonimmigrants	1,018	-	159	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-300 Declaration of Intent	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-336 Request for Hearing	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-400 Military Naturalization	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-400 Other Naturalization	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-470 Preserve Residence	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-565 Replace Certificate	-	-	25	29,426	-	2,345	-	-	18	-	-	9	-	-	-	-	-	-	-	-	-
N-600 Application for Citizenship	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-644 Posthumous Naturalization	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
N-648 Disability Exception	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
I-90 Renew / Replace Permanent Resident Card	-	-	384	-	-	11	-	-	243	-	-	57	797,671	6	36,819	-	-	-	-	-	42
I-102 Replacement/Initial Nonimmigrant Arrival-Departure Document	1,580	-	296	545	-	89	894	-	137	534	-	13	6	-	1	-	-	-	735	-	85
I-131 Reentry Permit / Refugee Travel Doc	20	-	-	68,570	1	2,813	177	-	4	53	-	8	5	-	-	-	-	-	4,225	-	3
I-131 Advance Parole	27,354	-	1,041	92,009	-	5,684	87,014	2	3,694	12,096	-	510	1,329	-	2	-	-	-	363,698	-	46,897
I-193 Waiver of Passport and/or Visa	21	-	26	9	-	9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
I-360 Petition for Amerasian, Widow(er), or Special Immigrant	22,759	5	3,871	8,294	-	86	-	-	4	2,555	-	114	-	-	2	-	-	-	23,017	5	1,394
I-485 All Other Adjustment of Status	9,447	-	1,865	10,437	-	793	503	-	251	63	-	34	-	-	123	-	-	-	-	-	-
I-539 Extend/ Change Status	56,773	1	17,656	63,803	1	16,468	50,086	1	15,332	81,142	4	41,050	27,473	3	15,819	-	-	-	2,189	-	25
I-824 Action on Approved Application or Petition	1,497	-	304	2,235	-	74	1,869	-	73	3,233	-	331	456	-	75	-	-	-	1,963	-	411
Waivers	27,307	-	4,011	21,342	-	4,434	693	-	41	753	-	100	27	-	19	-	-	-	8,826	-	178

**Table Key:**

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**Notes:**

1) I-914 includes I-914A; I-918 includes I-918A.

**Source(s):**

1) PASEXEC Database, Data as of 11/15/2021